



#3

Patent Application  
Docket No. 10010025-1  
47429-00017USPT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Kakarala et al.

Serial No.: 10,001,508

Filed: October 23, 2001

For: Signaling Adaptive-Quantization Matrices in JPEG Using End-of-Block Codes

§  
§  
§ Art Unit:  
§  
§  
§ Examiner:  
§

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Commissioner of Patents and Trademarks, Attention: Box  
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on.....January 25, 2002

*Carol Marstaller*  
Signature Carol Marstaller

Dear Sir:

**PETITION UNDER 37 C.F.R. §1.53(b) IN RESPONSE TO  
NOTICE OF OMITTED ITEMS**

In response to the Notice of Omitted Item(s) dated December 31, 2001, Applicants respectfully petition the Commissioner under 37 C.F.R. §1.53(b) to enter page 33 of the specification, which was deposited with the Patent Office with the above-referenced application, without a change in the filing date of the above-referenced application. Also enclosed herewith is a copy of the Notice of Omitted Item(s) and the petition fee under the 37 C.F.R. §1.17(h).

Adjustment date: 04/22/2002 AKELLEY  
02704/2002 MBERHE 00000014 10001508  
01 FC:122 -130.00 OP

Repln. Ref: 04/22/2002 AKELLEY 0011090300  
DAN:100447 Name/Number:10001508  
FC: 704 Dallas2 864404 v 1, 47421301  
\$130.00 CR

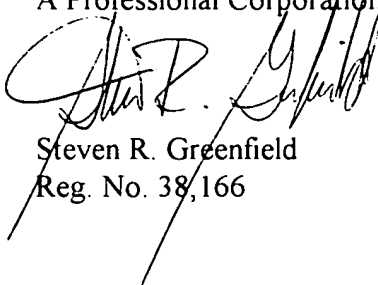
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Applicants also enclose a copy of the return postcard containing the USPTO bar code receipt stamp indicating receipt of all items listed on the postcard, which included page 33 of the specification.

Applicants are enclosing a replacement page 33 with this Petition so that the USPTO's file will be complete.

Respectfully submitted,

JENKENS & GILCHRIST,  
A Professional Corporation



Steven R. Greenfield  
Reg. No. 38,166

Date: January 25, 2002

1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799  
(214) 855-4789  
(214) 855-4300 (fax)

**UNITED STATES PATENT & TRADEMARK OFFICE**  
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REQUEST FOR PATENT FEE REFUND											
1 Date of Request: <u>4/12/02</u>		2 Serial/Patent # <u>10/001,508</u>									
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT							
	Filing			\$							
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<input checked="" type="checkbox"/>	Petition	3	1/29/02	\$ 130.00							
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7 TOTAL AMOUNT OF REFUND			\$ 130.00								
8 TO BE REFUNDED BY:											
10 REASON:		Treasury Check									
	Overpayment	Credit Deposit A/C #:									
	Duplicate Payment	9 <table border="1" style="display: inline-table; text-align: center; width: 150px;"><tr><td>1</td><td>0</td><td>--</td><td>0</td><td>4</td><td>4</td><td>7</td></tr></table>			1	0	--	0	4	4	7
1	0	--	0	4	4	7					
<input checked="" type="checkbox"/>	No Fee Due (Explanation):										
Applicant's 37 CFR 1.53 Filing Date petition was granted											
11 REFUND REQUESTED BY:											
TYPED/PRINTED NAME: <u>Cliff Congo</u>		TITLE: <u>Petitions Attorney</u>									
SIGNATURE: <u>[Signature]</u>		PHONE: <u>305-0272</u>									
OFFICE: <u>Petitions</u>											
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APPROVED: <u>[Signature]</u>		DATE: <u>4-22-02</u>									

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

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on January 25, 2002

Signature: Carol Marstaller

Re: Applicant(s): Kakarala et al.  
Serial No. 10/001,508  
Filing Date: October 25, 2001  
For: Signaling Adaptive-Quantization Matrices in JPEG Using End-of-Block Codes  
Docket No.: 47429-17

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for  
the above-referenced patent application:

1. Response to Notice of Omitted Items
2. Copy of Notice of Omitted Items
3. Page 33 of Specification
4. Copy of File Stamped Postcard with Bar Code
5. Check in the amount of \$130 as surcharge for filing
6. Acknowledgment Postcard

Please address all communications related to this to:

Steven R. Greenfield, Esq.  
Jenkins & Gilchrist, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799

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Page 2

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447.

Respectfully submitted,

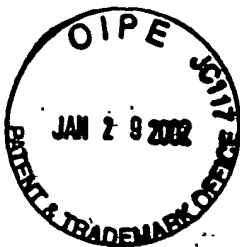
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Steven R. Greenfield  
Registration No. 38,166

Date: January 25, 2002

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EXPRESS MAIL NO. EL798307004US  
Mailed: OCTOBER 23, 2001  
Re: Applicant(s): Kakarala et al.  
New patent application  
For: SIGNALING ADAPTIVE-QUANTIZATION MATRICES IN JPEG  
USING END-OF-BLOCK CODES  
Docket No.: 10010025-1  
(J&G No. 47429-00017)

SRG/dkh

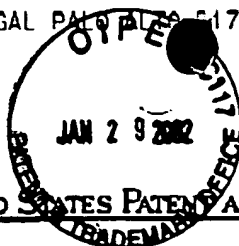
The following documents were received in the PTO on the date stamped below:

1. Transmittal letter (in duplicate);
2. Specification, Claims and Abstract (37 pages);
4. Four (4) sheets of Formal Drawings (Figs. 1-7);
5. Combined Declaration and Power of Attorney (executed);
6. Petition to Accept Color Photographs;
7. Six (6) sheets of photographs (4 color, 2 black and white);
8. Information Disclosure Statement and Form PTO-1449 with references; and
9. Two (2) Acknowledgment Postcards.

jc879 U.S. PTO  
10/001508  
10/23/01

\* DOCKETED  
int: SW DT: 12/17/01  
Filed application  
Action: Date: petition IOS

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,508	10/23/2001	Ramakrishna Kakarala	10010025-1

CONFIRMATION NO. 3254

FORMALITIES LETTER



\*OC000000007242558\*

AGILENT TECHNOLOGIES, INC.  
Legal Department, DL429  
Intellectual Property Administration  
P.O. Box 7599  
Loveland, CO 80537-0599

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Date Mailed: 12/31/2001

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

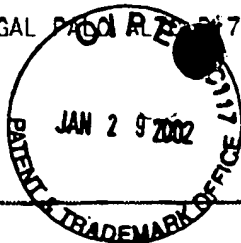
- Page(s) 33 of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.



*A copy of this notice **MUST** be returned with the reply.*

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